

# Get Nice Securities Limited

(the “Company”)

## **IMPORTANT NOTICE TO MARGIN CLIENTS**

1. Listed securities on GEM board of the Stock Exchange of Hong Kong and Covered Warrants are not accepted as securities collateral for margin financing, thus no margin ratio would be then assigned. Also, we will not provide margin financing for China Connect Stocks.
2. Balance and margin status of clients’ accounts are reviewed on daily basis, for those clients who are under margin, margin calls will be issued to clients to cover the shortfall.
3. The initiation of margin calls arise:
  - i. when loan balance is greater than 120% of the margin value of the securities collateral in case of loan balance over \$2 million; or
  - ii. when loan balance is greater than 130% of the margin value of the securities collateral in case of loan balance equal or less than \$2 million; or
  - iii. when the loan balance is greater than 60% of the market value of the securities collateral
4. Account Executive (“AE”) will record the client’s response and proposed actions to be taken after the first margin call. If a client fails to meet the first margin call within the mutually agreed timeframe, the AE will initiate second margin call to the client.
5. If the client fails to cover the shortfall position within two weeks after the second margin call, the Company may, at its discretion, issue demand letter or liquidate his/her securities in the open market.
6. If the margin account bears a debit balance after liquidating all shares, the account will be temporarily suspended until the balance has been settled.
7. Margin call will be made by telephone, Whatsapp or email by AE.

This notice is attached to the Account Opening Form and provided to all existing margin clients.